

01-28-02

A

PTO/SB/05 (03-01)

App. 03-01 for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 33836000003 |
| First Inventor | Randall R. Wandmacher |
| Title | Establishment of Preferred Business Partners Using a Vendor Certification Program |
| Express Mail Label No. | EV022445681US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
- ☐ Oath or Declaration [Total Pages 2]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: **Certificate of Mailing**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____


Prior application information:

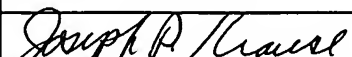
Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

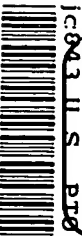
19. CORRESPONDENCE ADDRESS

| | | | | |
|---|---|-----------|--|------------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |  | | or <input type="checkbox"/> Correspondence address below | |
| Name | Christopher P. Moreno | | | |
| Address | Vedder Price/Accenture 222 North LaSalle Street | | | |
| City | Chicago | State | IL | Zip Code 60601 |
| Country | USA | Telephone | 312-609-7797 | Fax 312-609-5005 |

| | | | |
|-------------------|---|-----------------------------------|------------|
| Name (Print/Type) | Joseph P. Krause | Registration No. (Attorney/Agent) | 32,578 |
| Signature |  | Date | 01/25/2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

01/25/02



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10/056569

01/25/02

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1182.00

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Randall R. Wandmacher |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 33836000003 |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **22-0259**
- Deposit Account Name **Vedder, Price, Kaufman & Kammholz**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|-----|---------------|---------------|------------------------|----------|
| | Fee Code (\$) | Fee Code (\$) | | |
| 101 | 740 | 201 370 | Utility filing fee | 740.00 |
| 106 | 330 | 206 165 | Design filing fee | |
| 107 | 510 | 207 255 | Plant filing fee | |
| 108 | 740 | 208 370 | Reissue filing fee | |
| 114 | 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims **33** -20** = **13** × **18.00** = **234.00**

Independent Claims **5** -3** = **2** × **84.00** = **168.00**

Multiple Dependent =

| | Large Entity | Small Entity | Fee Description |
|-----|---------------|---------------|--|
| | Fee Code (\$) | Fee Code (\$) | |
| 103 | 18 203 9 | | Claims in excess of 20 |
| 102 | 84 202 42 | | Independent claims in excess of 3 |
| 104 | 280 204 140 | | Multiple dependent claim, if not paid |
| 109 | 84 209 42 | | ** Reissue independent claims over original patent |
| 110 | 18 210 9 | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 402.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| | Large Entity | Small Entity | Fee Description | Fee Paid |
|-----|-------------------|---------------|--|----------|
| | Fee Code (\$) | Fee Code (\$) | | |
| 105 | 130 205 65 | | Surcharge - late filing fee or oath | |
| 127 | 50 227 25 | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 139 130 | | Non-English specification | |
| 147 | 2,520 147 2,520 | | For filing a request for ex parte reexamination | |
| 112 | 920* 112 920* | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* 113 1,840* | | Requesting publication of SIR after Examiner action | |
| 115 | 110 215 55 | | Extension for reply within first month | |
| 116 | 400 216 200 | | Extension for reply within second month | |
| 117 | 920 217 460 | | Extension for reply within third month | |
| 118 | 1,440 218 720 | | Extension for reply within fourth month | |
| 128 | 1,960 228 980 | | Extension for reply within fifth month | |
| 119 | 320 219 160 | | Notice of Appeal | |
| 120 | 320 220 160 | | Filing a brief in support of an appeal | |
| 121 | 280 221 140 | | Request for oral hearing | |
| 138 | 1,510 138 1,510 | | Petition to institute a public use proceeding | |
| 140 | 110 240 55 | | Petition to revive - unavoidable | |
| 141 | 1,280 241 640 | | Petition to revive - unintentional | |
| 142 | 1,280 242 640 | | Utility issue fee (or reissue) | |
| 143 | 460 243 230 | | Design issue fee | |
| 144 | 620 244 310 | | Plant issue fee | |
| 122 | 130 122 130 | | Petitions to the Commissioner | |
| 123 | 50 123 50 | | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 126 180 | | Submission of Information Disclosure Stmt | |
| 581 | 40 581 40 | | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 740 246 370 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 249 370 | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 279 370 | | Request for Continued Examination (RCE) | |
| 169 | 900 169 900 | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

| | | | | | |
|-------------------|-------------------------|-----------------------------------|------------|-----------|--------------|
| Name (Print/Type) | Joseph P. Krause | Registration No. (Attorney/Agent) | 32,578 | Telephone | 312-609-7536 |
| Signature | <i>Joseph P. Krause</i> | Date | 01/25/2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | | |
|----------------------|---|--|
| First Named Inventor | Randall R. Wandmacher | |
| Title | Establishment of Preferred Business Partners Using a Vendor Certification Program | |
| Atty Docket Number | 33836000003 | |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1-25-2002

Date

Joseph P. Krause

Signature

Joseph P. Krause, Agent

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

1005669-0155007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Randall R. Wandmacher et al.
Serial No.:
Filing Date:
Title: Establishment of Preferred Business Partners Using a Vendor
Certification Program
Docket No. 33836000003

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number: EV022445681 US

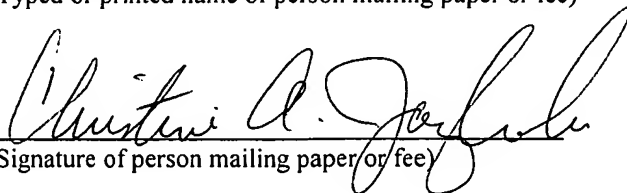
Date of Deposit: January 25, 2002

I hereby certify that the following attached paper

New patent application (20 p.); Drawings (4 sheets); Assignment and Recordation
Form; Declaration; Power of Attorney; transmittal form; fee transmittal and
duplicate; Nonpublication Request; return postcard;

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant
Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Christine A. Jazkowski
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

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